

## ANNEX 1

### Von der Bank vorgesehene Ausführungsplätze

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Folgende Ausführungsplätze stehen unseren Kunden derzeit durch eine Direktanbindung aufgrund von elektronischem Marktzugang oder über unseren Handelstisch zur Verfügung:

Desk = Full Service Brokerage Desk, ET = elektronischer Marktzugang

#### Deutschland

| Börse                        | via Desk | ET | Location         |
|------------------------------|----------|----|------------------|
| Berlin, Börse Berlin         | X        | X  | German Exchanges |
| Düsseldorf, Börse Düsseldorf | X        | X  | German Exchanges |
| Frankfurt am Main            | X        | X  | German Exchanges |
| gettex                       | X        | X  | German Exchanges |
| Hamburg                      | X        | X  | German Exchanges |
| Hannover                     | X        | X  | German Exchanges |
| München                      | X        | X  | German Exchanges |
| Stuttgart                    | X        | X  | German Exchanges |
| Tradegate                    | X        | X  | German Exchanges |
| XETRA Frankfurt              | X        | X  | German Exchanges |

#### EMEA

| Börse  | via Desk | ET | Location |
|--|----------|----|----------|
| Österreich, Börse Österreich                     | X        | X  | EMEA     |
| Belgien, Euronext Brüssel                        | X        | X  | EMEA     |
| Tschechische Republik, Prag Börse                | X        | X  | EMEA     |
| Dänemark, NASDAQ Copenhagen, First North Denmark | X        | X  | EMEA     |
| Finland, NASDAQ Helsinki, First North Finland    | X        | X  | EMEA     |
| Frankreich, Euronext Paris                       | X        | X  | EMEA     |
| Griechenland, Börse Athen                        | X        |    | EMEA     |
| Ungarn, Börse Budapest                           | X        | X  | EMEA     |
| Irland, Euronext Dublin                          | X        | X  | EMEA     |
| Italien, Borsa Italiana                          | X        | X  | EMEA     |
| Niederlande, Euronext Amsterdam                  | X        | X  | EMEA     |
| Norwegen, Börse Oslo, First North Norway         | X        | X  | EMEA     |
| Polen, Börse Warschau                            | X        | X  | EMEA     |
| Portugal, Euronext Lissabon                      | X        | X  | EMEA     |
| Süd Afrika, JSE Securities Exchange              | X        |    | EMEA     |
| Slowenien, Börse Ljubljana                       | X        |    | EMEA     |
| Spanien, Bolsa de Madrid, LATIBEX                | X        |    | EMEA     |
| Schweden, NASDAQ Stockholm, First North Sweden   | X        | X  | EMEA     |
| Schweiz, SIX Swiss Exchange                      | X        | X  | EMEA     |
| United Kingdom, Börse London                     | X        | X  | EMEA     |

#### AMERS

| Börse  | via Desk | ET | Location |
|--|----------|----|----------|
| Kanada, Börse Toronto, TSX Venture                               | X        | X  | AMERS    |
| USA, ARCA  | X        | X  | AMERS    |
| USA, NASDAQ, NASDAQ BX, NASDAQ PSX                               | X        | X  | AMERS    |
| USA, NYSE, NYSE American, NYSE Arca, NYSE Chicago, NYSE National | X        | X  | AMERS    |

## APAC

| Börse                          | via Desk | ET | Location |
|--------------------------------|----------|----|----------|
| Australien, Australische Börse | X        |    | APAC     |
| Hong Kong, Börse Hong Kong     | X        |    | APAC     |
| Japan, Börse Tokio             | X        |    | APAC     |
| Neuseeland, Börse Neuseeland   | X        |    | APAC     |
| Singapur, Börse Singapur       | X        |    | APAC     |

## Weitere Ausführungsplätze und Liquiditätsprovider

| Börse                     | via Desk | ET | Location     | Notes   |
|---------------------------|----------|----|--------------|---|
| Aquis                     | X        |    | AMERS, EMEA  | MTF   |
| BAML Instinct X           | X        |    | AMERS        | Darkpool operated by Bank of America              |
| Barclays LX               | X        |    | EMEA, AMBERS | Darkpool operated By Barclays                     |
| BIDS                      | X        |    | EMEA         | Alternative trading system specialized for blocks |
| Blockmatch Dark           | X        |    | AMERS, EMEA  | Darkpool by Instinet                              |
| BNP Cortex                | X        |    | AMERS, EMEA  | Liquidity pool by BNP                             |
| CBOE Family               | X        |    | AMERS, EMEA  | MTF   |
| Citadel Connect SI        | X        |    | AMERS        | Systematic internalizer                           |
| Citi One                  | X        |    | AMERS        | Alternative trading system by Citi                |
| CODA Markets              | X        |    | AMERS        | Trading facility specialized on blocks            |
| Equiduct                  | X        |    | EMEA         | lit orderbook provided by Berlin Stock Exchange   |
| Exane SI                  | X        |    | EMEA         | System internalizer                               |
| Fidelity CrossStream      | X        |    | AMERS        | Alternative trading system by Fidelity            |
| Flow Traders SI           | X        |    | EMEA         | System internalizer                               |
| Goldman Sachs SI          | X        |    | AMERS, EMEA  | System internalizer                               |
| Hudson River Trading SI   | X        |    | AMERS        | System internalizer                               |
| IEX                       | X        |    | AMERS        | registered national securities exchange           |
| Instinet BlockMatch       | X        |    | AMERS, EMEA  | Darkpool by Instinet                              |
| IntelligentCross          | X        |    | AMERS        | US equities trading venue                         |
| ITG Postit                | X        |    | EMEA         | MTF specialized on blocks                         |
| Jane Street SI            | X        |    | AMERS        | Systematic internalizer                           |
| JPM-X                     | X        |    | AMERS        | Alternative trading system by JP Morgan Chase     |
| Jumb Trading SI           | X        |    | AMERS        | Systematic internalizer                           |
| Level ATS                 | X        |    | AMERS        | Conditional order book                            |
| Liquidnet                 | X        |    | EMEA         | MTF, institutional trading network                |
| Liquidnet Dark            | X        |    | AMERS        | Darkpool by Liquidnet                             |
| Long Term Stock Exchange  | X        |    | AMERS        | LTSE Group  |
| Luminex                   | X        |    | AMERS        | Alternative trading system for blocks             |
| Member's Exchange         | X        |    | AMERS        | American standard exchange                        |
| MS Pool                   | X        |    | AMERS        | Dark pool by Morgan Stanley                       |
| Nordic@Mid                | X        |    | EMEA         | Mid-point execution BY NASDAQ Nordic              |
| OneChronos                | X        |    | AMERS        | Alternative trading system                        |
| Postit Dark               | X        |    | EMEA         | Darkpool operated by ITG                          |
| Purestream                | X        |    | AMERS        | Alternative trading system for institutionals     |
| SigmaX MTF                | X        |    | AMERS, EMEA  | MTF by Goldman Sachs                              |
| SwissAtMid                | X        |    | DARK         | Mid-point execution by SIX                        |
| Tower Research Capital    | X        |    | AMERS        | Systematic internalizer                           |
| Tower Research Capital SI | X        |    | AMERS, UK    | Systematic internalizer                           |

| Börse                     | via Desk | ET | Location    | Notes   |
|---------------------------|----------|----|-------------|---|
| Turquoise                 | X        |    | AMERS, EMEA | Continuous trading MTF                                    |
| Turquoise Block Discovery | X        |    | AMERS, EMEA | Trading facility specialized on blocks                    |
| Turquoise Lit Auctions    | X        |    | AMERS, EMEA | Continuous-Auction trading MTF                            |
| Turquoise Plato Uncross   | X        |    | AMERS, EMEA | buy-side friendly randomised midpoint uncrossing          |
| UBS ATS                   | X        |    | EMEA, AMERS | Alternative trading system of non-displayed orders by UBS |
| UBS MTF                   | X        |    | EMEA        | MTF by UBS  |
| Virtus Financial SI       | X        |    | AMERS, EMEA | Systematic internalizer                                   |
| XTX Markets               | X        |    | EMEA        | Alternative trading system by XTX                         |

### Exchange Traded Derivatives

| Börse                                     | via Desk | ET | Location |
|---|----------|----|----------|
| Australien, ASX                           | X        | X  | APAC     |
| Brasilien, Bolsa de Mercadorias e Futuros | X        |    | BRICS    |
| Belgien, Euronext                         | X        | X  | EMEA     |
| Deutschland, EUREX                        | X        | X  | DACH     |
| Dänemark, OMX Nordic Exchange             | X        | X  | EMEA     |
| England, ICE                              | X        | X  | EMEA     |
| Frankreich, Euronext                      | X        | X  | EMEA     |
| Italien, IDEM                             | X        | X  | EMEA     |
| Japan, JPX Tokyo & Osaka                  | X        | X  | APAC     |
| Kanada, Montreal Exchange                 | X        | X  | AMERS    |
| Malaysia, Malaysia Derivatives Exchange   | X        |    | APAC     |
| Niederlande, Euronext                     | X        | X  | EMEA     |
| Norwegen, Oslo Stock Exchange             | X        | X  | EMEA     |
| Portugal, Euronext                        | X        | X  | EMEA     |
| Schweiz, EUREX                            | X        | X  | DACH     |
| Schweden, OMX Nordic Exchange             | X        | X  | EMEA     |
| Singapur, Singapore Exchange              | X        | X  | APAC     |
| Spanien, MEFF                             | X        | X  | EMEA     |
| Südafrika, JSE Equity Derivatives Market  | X        | X  | EMEA     |
| Taiwan, Taiwan Futures Exchange           | X        |    | APAC     |
| Türkei, Borsa Istanbul                    | X        |    | EMEA     |
| USA, CBOE, CFE, CME, CBOT, ICE            | X        | X  | AMERS    |