

## BAADER HELVEA INC

Information concerning FINRA Regulation's Public Disclosure Program

Information concerning the Securities Investor Protection Corporation ("SIPC")

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In accordance with FINRA Conduct Rule 2267, "Investor Education and Protection", you may receive information concerning the FINRA Regulation's Public Disclosure Program by either calling the FINRA BrokerCheck Hotline number (800-289-9999), or accessing the FINRA Regulation website address ([www.finra.org](http://www.finra.org)). As well, an investor brochure describing the Public Disclosure Program is available from either of above mentioned sources.

As a member of the Securities Investor Protection Corporation (SIPC) funds are available to meet customer claims up to a maximum of \$500,000 in cash and securities, with a \$100,000 cash maximum. This protection is provided by the Securities and Investors Protection Act, which is administered by SIPC and is subject to certain conditions and limitations, details of which are available upon request. Information about SIPC, including the SIPC brochure, may be obtained by contacting SIPC: SIPC Web site address ([www.sipc.org](http://www.sipc.org)) SIPC telephone number (202-371-8300).