

Legal Entity	Incorporation Jurisdiction	Regulatory Status	Registered Address & Number	Contact Details	Board of Directors Details
Baader Helvea AG	Switzerland	Authorised and Regulated by FINMA as a Securities Dealer	Talstrasse 9, 8001 Zürich, Switzerland <u>Reg. No:</u> CHE-110.356.568	Talstrasse 9, 8001 Zürich, Switzerland Ph: +41 (0)43 388 9200	<u>Supervisory Board of Directors (Group):</u> Oliver Riedel (President) Dr. Catrina Luchsinger Gähwiler Paul-André Sanglard Morgan Deane, Esq. <u>Executive Management Board (Group):</u> Morgan Deane, Esq. (CEO) Tim Dawson (CFO) Bernard Scussel (CCO & CRO)
Baader Helvea Limited	England & Wales	Authorised and Regulated by the Financial Conduct Authority	5 Royal Exchange Buildings, London EC3V 3NL, United Kingdom Tel. +44 (0)20 7054 7100 <u>Reg. No:</u> 04935018	5 Royal Exchange Buildings, London EC3V 3NL, United Kingdom Tel. +44 (0)20 7054 7100	<u>Supervisory Board of Directors:</u> Oliver Riedel Morgan Deane, Esq. Tim Dawson Ralf Schmidgall Lukas Burkart
Baader Helvea Inc	Delaware, USA	SEC Registered (Broker Dealer) Member of FINRA Member of SIPC	2915 Ogletown Road, Newark, County of New Castle, 19713 Delaware USA <u>Reg. File No:</u> 5080609	<u>Main Business Address**:</u> Suite 804, 420 Lexington Avenue NY 10170 USA Tel. +1 212 935 5150	<u>Supervisory Board of Directors:</u> Francis Grevers (President) Oliver Riedel Morgan Deane, Esq. Tim Dawson Sebastian Niedermayer

**** Baader Helvea Inc. in New York is the OSJ through which all contact is routed. Information on the non OSJ locations in Newport Beach and Unterschleissheim can be provided on request**