

* Free telephone number from international and national landlines. Costs may be incurred for calls from other networks.

Name und Anschrift der bisherigen konto-/depotführenden Bank

Request to Transfer Account/Securities from another bank to the Bank

Retail clients

The original must be sent to the Bank. The Bank will arrange everything else on your behalf.

1. Deposit/account holder(s)

First deposit/account holder

Ms Mr Title: _____
 Forename: _____
 Surname: _____
 Tax ID number¹: _____

Street/no.: _____
 Additional address: _____
 Postcode: _____ Place: _____
 Country: _____

Second deposit/account holder

Ms Mr Title: _____
 Forename: _____
 Surname: _____
 Tax ID number¹: _____

Street/no.: _____
 Additional address: _____
 Postcode: _____ Place: _____
 Country: _____

2. Transfer of account or securities

Transfer to: Baader Bank Aktiengesellschaft, Weihenstephaner Str. 4, 85716 Unterschleissheim, Germany, sort code 700 331 00, BIC BDWBDEMM

From IBAN (Sending Bank): _____
 From deposit (Sending Bank): _____

to IBAN (at the Bank): _____
 to deposit (at the Bank): _____

- Transfer of all account holdings and account balances²
- Transfer of following securities²:

| Name of security | WKN or ISIN | Quantity/nominal value |
|------------------|-------------|------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |

Please note: Transfers of securities held in custody (including registered shares) from outside Germany may incur additional third-party expenses. The Bank will continue to apply any securities restrictions (such as company-imposed lock-up periods or incentive waiting periods) which accompany the transfer.

Please note that the transfer of fund units is frequently only possible as whole shares. If this is the case, any fractional shares held will be sold prior to account transfer and the cash proceeds transferred to your bank account.

¹ Must be provided for any transfer without offsetting payment to the account of a spouse or third party.

² If you are the previous custodian bank, please see point 8.

3. Cancelling securities/account

- all accounts
- account only
- securities only
- close all
- account only
- securities only

4. Transfer

Amount (in EUR): _____ IBAN of Bank: _____

5. Transfer of loss compensation accounts³

- Accrued losses on equities (Aktienverlusttopf)
- General account for offsetting losses against gains (allgemeiner Verlustverrechnungstopf)
- Withholding tax account (Quellensteuertopf)

6. Type of account/securities transfer

- Transfer of my/our own account/securities free of charge⁴
- Transfer of securities/account of a spouse/third party free of charge⁵
- Transfer of securities/account of a spouse/third party⁶

Ms Mr Title: _____

Forename: _____

Surname: _____

Date of birth: _____

Tax ID number¹: _____

Spouse

Third party with kinship: _____

Street/no.: _____

Additional address: _____

Postcode: _____ Place: _____

Country: _____

Other third party

Inheritor

7. Signature

Place, date: _____

Place, date: _____

x _____

x _____

Signature of first deposit/account holder

If applicable, signature of second deposit/account holder

8. Notes to the Sending Bank

8.1 Notification

Please notify the receiving bank of all transfers via email at custodyservice@baaderbank.de or by fax at +49 (0) 89 5150 2444

8.2 Method of settlement

Please deliver the securities by way of our account at Caceis Bank Deutschland GmbH.

Securities in German collective custody (Girosammelverwahrung): Clearstream Banking Frankfurt 2013, for benefit of Baader Bank Aktiengesellschaft 68007585 Securities in bank custody (Wertpapierrechnung):

Clearstream Banking Luxembourg 62013, for benefit of Baader Bank Aktiengesellschaft 68007585

For non-German securities, please contact the receiving bank to agree settlement instructions.

8.3 Acquisition information

Please also provide details regarding the acquisition of securities (provided automatically within Germany).

³ Only possible for the total transfer to own securities/account without a change of ownership

⁴ For any transfers without payment, the Sending Bank should provide the receiving bank with the relevant details regarding the acquisition of the securities held in previous securities accounts, so that German flat rate withholding tax (Abgeltungssteuer) may be correctly calculated (in accordance with the second and third sentences of Section 43a (2) of the German Income Tax Act, Einkommensteuergesetz; EStG).

⁵ For any transfers without payment, the Sending Bank should provide the receiving bank with the relevant details regarding the acquisition of the securities held in previous securities accounts, so that German flat rate withholding tax (Abgeltungssteuer) may be correctly calculated (in accordance with the second and third sentences of Section 43a (2) of the German Income Tax Act, (Einkommensteuergesetz; EStG). In the case of transfers to be handled free-of-charge with a change of creditor, the bank is required to report the data contained in the order to the tax office that is responsible for the business premises. It is not possible to transfer futures transactions positions to the deposit of a spouse/third party free of charge.

⁶ In the case of a transfer against offsetting payment, it is the obligation of the Sending Bank to determine the amount of any capital gains and to collect any flat rate withholding tax (including solidarity surtax and any church tax). If the account holder does not provide information as to the type of transfer, it will be assumed to be a transfer against payment.